

Notice of Meeting

Audit & Governance Committee



SURREY
COUNTY COUNCIL

Date & time
Monday, 26
September 2016
at **10.00 am**

Place
Members Conference
Room, County Hall,
Kingston upon
Thames, Surrey KT1
2DN

Contact
Andrew Baird
Room 122, County Hall
Tel 020 8541 7609

Andrew.baird@surreycc.gov.uk

Chief Executive
David McNulty



We're on Twitter:
@SCCdemocracy

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This meeting will be held in public. If you would like to attend and you have any special requirements, please contact Andrew Baird on 020 8541 7609.

Members

Mr Stuart Selleck (Chairman), Mr Denis Fuller (Vice-Chairman), Mr W D Barker OBE, Mr Will Forster, Mr Tim Hall and Mr Saj Hussain

Ex Officio:

Mr David Hodge (Leader of the Council), Mr Peter Martin (Deputy Leader and Cabinet Member for Economic Prosperity), Mrs Sally Ann B Marks (Chairman of the County Council) and Mr Nick Skellett CBE (Vice-Chairman of the County Council)

AGENDA

1 APOLOGIES FOR ABSENCE AND SUBSTITUTIONS

2 MINUTES OF THE PREVIOUS MEETING [25 JULY 2016]

(Pages 1
- 10)

To agree the minutes as a true record of the meeting.

3 DECLARATIONS OF INTEREST

To receive any declarations of disclosable pecuniary interests from Members in respect of any item to be considered at the meeting.

Notes:

- In line with the Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012, declarations may relate to the interest of the member, or the member's spouse or civil partner, or a person with whom the member is living as husband or wife, or a person with whom the member is living as if they were civil partners and the member is aware they have the interest.
- Members need only disclose interests not currently listed on the Register of Disclosable Pecuniary Interests.
- Members must notify the Monitoring Officer of any interests disclosed at the meeting so they may be added to the Register.
- Members are reminded that they must not participate in any item where they have a disclosable pecuniary interest.

4 QUESTIONS AND PETITIONS

To receive any questions or petitions.

Notes:

1. The deadline for Member's questions is 12.00pm four working days before the meeting (*20 September 2016*).
2. The deadline for public questions is seven days before the meeting (*19 September 2016*).
3. The deadline for petitions was 14 days before the meeting, and no petitions have been received.

5 RECOMMENDATIONS TRACKER AND INFORMATION BULLETIN

(Pages
11 - 26)

To review the Committee's recommendations tracker.

6 EXTERNAL AUDIT: ANNUAL AUDIT LETTER

(Pages
27 - 46)

The Council's external auditors, Grant Thornton, are presenting their Annual Audit Letter in respect of the audit year 2015/16 (Annex 1). This report summarises the key messages detailed in the Grant Thornton Audit Findings report presented to the Audit & Governance Committee on 25 July 2016.

7 EXTERNAL AUDIT PERFORMANCE

(Pages
47 - 54)

This report provides the Audit & Governance Committee with details of Grant

Thornton's performance during the last 12 months against the Key Performance Indicators (KPIs) previously agreed and approved by this Committee on 7 December 2015.

8 STATUTORY RESPONSIBILITIES NETWORK

(Pages
55 - 58)

To update the Audit & Governance Committee on the activity of the Statutory Responsibilities Network.

9 HIGHWAYS NETWORK ASSET

(Pages
59 - 66)

To inform the Committee about progress on the implementation of the Highways Network Asset for the Statement of Accounts for 2016/17. This is a fundamental change in the accounting treatment of infrastructure assets which will see the value of infrastructure assets held on the balance sheet increase from £364m as at 31 March 2016 to an estimated £30bn as at 31 March 2017.

10 LEADERSHIP RISK REGISTER

(Pages
67 - 78)

To present the Leadership risk register as at 31 August 2016 and update the Committee on any changes made since the last meeting to enable the Committee to keep the Council's strategic risks under review.

11 COMPLETED INTERNAL AUDIT REPORTS

(Pages
79 - 96)

The purpose of this report is to inform Members of the Internal Audit reports that have been completed since this Committee last considered a Completed Internal Audit Reports item in May 2016 - as attached at Annex 1.

12 ANNUAL COMPLAINTS PERFORMANCE REPORT

(Pages
97 - 108)

To give the Audit & Governance Committee an overview of the Council's performance in relation to complaint handling in 2015/16 and how feedback from customers has been used to improve services.

13 DATE OF NEXT MEETING

The next meeting of Audit & Governance Committee will be on 5 December 2016.

David McNulty
Chief Executive

Published: 16 September 2016

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